



#10
Docket No.: M4065.0159/P159-B
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Li Li, et al

Confirmation No.: 5524

Application No.: 09/939,651

Group Art Unit: 1765

Filed: August 28, 2001

Examiner: B. Tran

For: METHOD OF CONTROLLING
STRIATIONS AND CD LOSS IN
CONTACT OXIDE ETCH

RECEIVED
MAY - 8 2003
TC-1700 MAIL ROOM

REQUEST FOR ACKNOWLEDGMENT OF
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

Dear Sir:

In the Office Action dated April 24, 2003, there is no indication that the Information Disclosure Statement filed on March 26, 2003 has been considered. Applicants respectfully request that the receipt and consideration of this Information Disclosure Statement (IDS) be formally acknowledged at the earliest possible convenience. For convenience, attached hereto is a copy of the IDS and the corresponding postcard

Application No.: 09/939,651

Docket No.: M4065.0159/P159-B

receipt with date-stamp showing the date of filing of the above listed Information Disclosure Statement.

Dated: May 6, 2003

Respectfully submitted,



By

Thomas J. D'Amico

Registration No.: 28,371

DICKSTEIN SHAPIRO MORIN &
OSHINSKY LLP

2101 L Street NW

Washington, DC 20037-1526

(202) 785-9700

Attorneys for Applicants

RECEIVED
MAY - 8 2003
TC-1700 MAIL ROOM

RECEIVED
MAY - 8 2003
TO 1700 MAIL ROOM

Atty Docket No.: M4065.0159/P159-B

Inventor: Bradley J. Howard, et al

Application No.: 09/939,651-Conf. #5524 Filing Date: August 28, 2001
Title: METHOD OF CONTROLLING STRIATIONS AND CD LOSS IN CONTACT
OXIDE ETCH

Documents Filed:

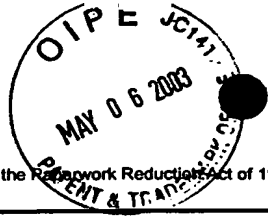
Information Disclosure Statement (3 pages)
IDS (Citation) by Applicant (1 page)
Fee Transmittal (1 page)
Transmittal (1 page)
Payment by credit card. Form PTO-2038 is attached (1 page)
Charge \$180.00 to credit card

Via: PTO Daily Run
Sender's Initials: TJD/GC/meb

m.s.



Date: March 26, 2003



PTO/SB/17 (01-03)
Approved for use through 04/30/2003. OMB 0651-0032
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2003</h3> <p style="margin: 0; font-size: small;">Patent fees are subject to annual revision.</p>		Complete If Known																																																																																																																																																																																																																																																																																																			
<div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</div> <div style="display: flex; justify-content: space-between;"><div>TOTAL AMOUNT OF PAYMENT</div><div>(\$) 180.00</div></div>		Application Number	09/939,651-Conf. #5524																																																																																																																																																																																																																																																																																																		
		Filing Date	August 28, 2001																																																																																																																																																																																																																																																																																																		
		First Named Inventor	Bradley J. Howard																																																																																																																																																																																																																																																																																																		
		Examiner Name	P. Brock																																																																																																																																																																																																																																																																																																		
		Group Art Unit	1765																																																																																																																																																																																																																																																																																																		
		Attorney Docket No.	M4065.0159/P159-B																																																																																																																																																																																																																																																																																																		
<div style="display: flex; justify-content: space-between;"><div style="width: 48%;"><h4 style="text-align: center; margin: 0;">METHOD OF PAYMENT (check all that apply)</h4><div style="display: flex; justify-content: space-between; font-size: small;"><div><input type="checkbox"/> Check</div><div><input checked="" type="checkbox"/> Credit Card</div><div><input type="checkbox"/> Money Order</div><div><input type="checkbox"/> Other</div><div><input type="checkbox"/> None</div></div><div style="margin-top: 5px;"><input type="checkbox"/> Deposit Account</div><div style="margin-top: 5px;">Deposit Account Number: 04-1073</div><div style="margin-top: 5px;">Deposit Account Name: Dickstein Shapiro Morin & Oshinsky LLP</div><div style="margin-top: 5px; font-size: x-small;">The Commissioner is hereby authorized to: (check all that apply)</div><div style="display: flex; justify-content: space-between; font-size: x-small;"><div><input type="checkbox"/> Charge fee(s) indicated below</div><div><input checked="" type="checkbox"/> Credit any overpayments</div></div><div style="margin-top: 5px; font-size: x-small;"><input type="checkbox"/> Charge any additional fee(s) during the pendency of this application</div><div style="margin-top: 5px; font-size: x-small;"><input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.</div></div><div style="width: 48%;"><h4 style="text-align: center; margin: 0;">FEE CALCULATION (continued)</h4><h5 style="margin: 0;">3. ADDITIONAL FEES</h5><table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>1805</td><td>1,840*</td><td>1805</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>1252</td><td>410</td><td>2252</td><td>205</td><td>Extension for reply within second month</td><td></td></tr><tr><td>1253</td><td>930</td><td>2253</td><td>465</td><td>Extension for reply within third month</td><td></td></tr><tr><td>1254</td><td>1,450</td><td>2254</td><td>725</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>1255</td><td>1,970</td><td>2255</td><td>985</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>1401</td><td>320</td><td>2401</td><td>160</td><td>Notice of Appeal</td><td></td></tr><tr><td>1402</td><td>320</td><td>2402</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>1403</td><td>280</td><td>2403</td><td>140</td><td>Request for oral hearing</td><td></td></tr><tr><td>1451</td><td>1,510</td><td>1451</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>1452</td><td>110</td><td>2452</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>1453</td><td>1,300</td><td>2453</td><td>650</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>1501</td><td>1,300</td><td>2501</td><td>650</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>1502</td><td>470</td><td>2502</td><td>235</td><td>Design issue fee</td><td></td></tr><tr><td>1503</td><td>630</td><td>2503</td><td>315</td><td>Plant issue fee</td><td></td></tr><tr><td>1460</td><td>130</td><td>1460</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>1807</td><td>50</td><td>1807</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr><tr><td>1806</td><td>180</td><td>1806</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td>180.00</td></tr><tr><td>8021</td><td>40</td><td>8021</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>1809</td><td>750</td><td>2809</td><td>375</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr><tr><td>1810</td><td>750</td><td>2810</td><td>375</td><td>For each additional invention to be examined (37CFR 1.129(b))</td><td></td></tr><tr><td>1801</td><td>750</td><td>2801</td><td>375</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>1802</td><td>900</td><td>1802</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="4">Other fee (specify)</td><td></td><td></td></tr><tr><td colspan="4">*Reduced by Basic Filing Fee Paid</td><td>SUBTOTAL (3)</td><td>(\$) 180.00</td></tr></tbody></table></div><div><h4 style="text-align: center; margin: 0;">1. BASIC FILING FEE</h4><table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>1001</td><td>750</td><td>2001</td><td>375</td><td>Utility filing fee</td><td></td></tr><tr><td>1002</td><td>330</td><td>2002</td><td>165</td><td>Design filing fee</td><td></td></tr><tr><td>1003</td><td>520</td><td>2003</td><td>260</td><td>Plant filing fee</td><td></td></tr><tr><td>1004</td><td>750</td><td>2004</td><td>375</td><td>Reissue filing fee</td><td></td></tr><tr><td>1005</td><td>160</td><td>2005</td><td>80</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="4">SUBTOTAL (1)</td><td>(\$)</td><td>0.00</td></tr></tbody></table></div><div><h4 style="text-align: center; margin: 0;">2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</h4><div style="display: flex; justify-content: space-between; font-size: x-small;"><div>Total Claims: <input type="text"/></div><div>** = <input type="text"/></div><div>Extra Claims: <input type="text"/></div><div>Fee from below: <input type="text"/></div><div>Fee Paid: <input type="text"/></div></div><div style="display: flex; justify-content: space-between; font-size: x-small;"><div>Independent Claims: <input type="text"/></div><div>** = <input type="text"/></div><div>Multiple Dependent: <input type="text"/></div><div>Fee Paid: <input type="text"/></div></div><table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>1202</td><td>18</td><td>2202</td><td>9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>1201</td><td>84</td><td>2201</td><td>42</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>1203</td><td>280</td><td>2203</td><td>140</td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>1204</td><td>84</td><td>2204</td><td>42</td><td>** Reissue independent claims over original patent</td><td></td></tr><tr><td>1205</td><td>18</td><td>2205</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr><tr><td colspan="4">SUBTOTAL (2)</td><td>(\$)</td><td>0.00</td></tr></tbody></table><p style="font-size: x-small;">**or number previously paid, if greater; For Reissues, see above</p></div><div><div style="display: flex; justify-content: space-between;"><div>SUBMITTED BY</div><div>Complete (if applicable)</div></div><table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 40%;">Name (Print/Type) Thomas J. D'Amico</td><td style="width: 20%;">Registration No. (Attorney/Agent) 28,371</td><td style="width: 20%;">Telephone (202) 828-2232</td><td style="width: 20%;"></td></tr><tr><td>Signature</td><td></td><td>Date</td><td>March 26, 2003</td></tr></table></div></div> <div data-bbox="209 1919 412 1940" data-label="Page-Footer"><p>1588904 v1; Y208011.DOC</p></div>				Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		1251	110	2251	55	Extension for reply within first month		1252	410	2252	205	Extension for reply within second month		1253	930	2253	465	Extension for reply within third month		1254	1,450	2254	725	Extension for reply within fourth month		1255	1,970	2255	985	Extension for reply within fifth month		1401	320	2401	160	Notice of Appeal		1402	320	2402	160	Filing a brief in support of an appeal		1403	280	2403	140	Request for oral hearing		1451	1,510	1451	1,510	Petition to institute a public use proceeding		1452	110	2452	55	Petition to revive - unavoidable		1453	1,300	2453	650	Petition to revive - unintentional		1501	1,300	2501	650	Utility issue fee (or reissue)		1502	470	2502	235	Design issue fee		1503	630	2503	315	Plant issue fee		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		1806	180	1806	180	Submission of Information Disclosure Stmt	180.00	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))		1810	750	2810	375	For each additional invention to be examined (37CFR 1.129(b))		1801	750	2801	375	Request for Continued Examination (RCE)		1802	900	1802	900	Request for expedited examination of a design application		Other fee (specify)						*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$) 180.00	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1001	750	2001	375	Utility filing fee		1002	330	2002	165	Design filing fee		1003	520	2003	260	Plant filing fee		1004	750	2004	375	Reissue filing fee		1005	160	2005	80	Provisional filing fee		SUBTOTAL (1)				(\$)	0.00	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1202	18	2202	9	Claims in excess of 20		1201	84	2201	42	Independent claims in excess of 3		1203	280	2203	140	Multiple dependent claim, if not paid		1204	84	2204	42	** Reissue independent claims over original patent		1205	18	2205	9	** Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)				(\$)	0.00	Name (Print/Type) Thomas J. D'Amico	Registration No. (Attorney/Agent) 28,371	Telephone (202) 828-2232		Signature		Date	March 26, 2003
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																																																																																																																																
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																																																																																																																																		
1051	130	2051	65	Surcharge - late filing fee or oath																																																																																																																																																																																																																																																																																																	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																																																																																																																																	
1053	130	1053	130	Non-English specification																																																																																																																																																																																																																																																																																																	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																																																																																																																																																	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																																																																																																	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																																																																																	
1251	110	2251	55	Extension for reply within first month																																																																																																																																																																																																																																																																																																	
1252	410	2252	205	Extension for reply within second month																																																																																																																																																																																																																																																																																																	
1253	930	2253	465	Extension for reply within third month																																																																																																																																																																																																																																																																																																	
1254	1,450	2254	725	Extension for reply within fourth month																																																																																																																																																																																																																																																																																																	
1255	1,970	2255	985	Extension for reply within fifth month																																																																																																																																																																																																																																																																																																	
1401	320	2401	160	Notice of Appeal																																																																																																																																																																																																																																																																																																	
1402	320	2402	160	Filing a brief in support of an appeal																																																																																																																																																																																																																																																																																																	
1403	280	2403	140	Request for oral hearing																																																																																																																																																																																																																																																																																																	
1451	1,510	1451	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																																																																																																																	
1452	110	2452	55	Petition to revive - unavoidable																																																																																																																																																																																																																																																																																																	
1453	1,300	2453	650	Petition to revive - unintentional																																																																																																																																																																																																																																																																																																	
1501	1,300	2501	650	Utility issue fee (or reissue)																																																																																																																																																																																																																																																																																																	
1502	470	2502	235	Design issue fee																																																																																																																																																																																																																																																																																																	
1503	630	2503	315	Plant issue fee																																																																																																																																																																																																																																																																																																	
1460	130	1460	130	Petitions to the Commissioner																																																																																																																																																																																																																																																																																																	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)																																																																																																																																																																																																																																																																																																	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00																																																																																																																																																																																																																																																																																																
8021	40	8021	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																																																																	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																																																																																																																	
1810	750	2810	375	For each additional invention to be examined (37CFR 1.129(b))																																																																																																																																																																																																																																																																																																	
1801	750	2801	375	Request for Continued Examination (RCE)																																																																																																																																																																																																																																																																																																	
1802	900	1802	900	Request for expedited examination of a design application																																																																																																																																																																																																																																																																																																	
Other fee (specify)																																																																																																																																																																																																																																																																																																					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$) 180.00																																																																																																																																																																																																																																																																																																
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																																																																																																																																
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																																																																																																																																		
1001	750	2001	375	Utility filing fee																																																																																																																																																																																																																																																																																																	
1002	330	2002	165	Design filing fee																																																																																																																																																																																																																																																																																																	
1003	520	2003	260	Plant filing fee																																																																																																																																																																																																																																																																																																	
1004	750	2004	375	Reissue filing fee																																																																																																																																																																																																																																																																																																	
1005	160	2005	80	Provisional filing fee																																																																																																																																																																																																																																																																																																	
SUBTOTAL (1)				(\$)	0.00																																																																																																																																																																																																																																																																																																
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																																																																																																																																																																
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																																																																																																																																																		
1202	18	2202	9	Claims in excess of 20																																																																																																																																																																																																																																																																																																	
1201	84	2201	42	Independent claims in excess of 3																																																																																																																																																																																																																																																																																																	
1203	280	2203	140	Multiple dependent claim, if not paid																																																																																																																																																																																																																																																																																																	
1204	84	2204	42	** Reissue independent claims over original patent																																																																																																																																																																																																																																																																																																	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																																																																																																																	
SUBTOTAL (2)				(\$)	0.00																																																																																																																																																																																																																																																																																																
Name (Print/Type) Thomas J. D'Amico	Registration No. (Attorney/Agent) 28,371	Telephone (202) 828-2232																																																																																																																																																																																																																																																																																																			
Signature		Date	March 26, 2003																																																																																																																																																																																																																																																																																																		